



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/729,450	12/05/2003	Christina Khoo	7129-00	1031
23909 7590 12/03/2007 COLGATE-PALMOLIVE COMPANY 909 RIVER ROAD PISCATAWAY, NJ 08855			EXAMINER FORD, ALLISON M	
			ART UNIT 1651	PAPER NUMBER
			MAIL DATE 12/03/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/729,450

Applicant(s)

KHOO ET AL.

Examiner

Allison M. Ford

Art Unit

1651

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 24 September 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 14, 17 and 19-25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 14, 17 and 19-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Art Unit: 1651

DETAILED ACTION

Request for Continued Examination

A request for continued examination under 37 CFR 1.114 was filed in this application after a decision by the Board of Patent Appeals and Interferences, but before the filing of a Notice of Appeal to the Court of Appeals for the Federal Circuit or the commencement of a civil action. Since this application is eligible for continued examination under 37 CFR 1.114 and the fee set forth in 37 CFR 1.17(e) has been timely paid, the appeal has been withdrawn pursuant to 37 CFR 1.114 and prosecution in this application has been reopened pursuant to 37 CFR 1.114.

Applicant's submission filed on 24 September 2007 has been entered.

Claims 14 and 19 have been amended; claims 1-13, 15, 16 and 18 have been cancelled; new claims 21-25 have been added. Claims 14, 17 and 19-25 are pending in the current application, all of which have been considered on the merits.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 14, 17 and 20-25 rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

Claim 14 has been amended to require the claimed method to eliminate production of class 1 or class 2 stool by the mammal. There is not sufficient support in the disclosure as

Art Unit: 1651

originally filed for this limitation; thus it is being considered new matter. The disclosure as originally filed does not support elimination of class 1 or class 2 stools, in fact the specification does not mention or in any way define a class system for ranking stool consistency. The specification as originally filed does disclose a Stool Monitory Scoring system, using rankings of 1-5; however, there is no clear correlation between the Stool Monitoring Scoring rankings and “class 1” and “class 2” stool ranks, thus the limitation is considered new matter. An amendment to the claims or the addition of a new claim must be supported by the description of the invention in the application as filed. In re Wright, 866 F.2d 422, 9 USPQ2d 1649 (Fed. Cir. 1989).

Applicant is required to cancel the new matter in the reply to this Office Action.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 14, 17 and 20-25 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 14 recites the limitation that “the composition eliminates production of class 1 or class 2 stool by the mammal” however, such a limitation is considered to be indefinite because the terms “class 1” and “class 2” stool are not art recognized terms. It is noted that on page 3 of the instant application, Applicants disclose a Stool Monitoring Scoring system using ratings of 1-5; however, there is no clear correlation between the scoring system ratings of the specification and the class system recited in the instant claims. Clarification is required.

Claim 19 is considered indefinite due to use of the term “feeding it a diet...” in line 3 of the claim. It appears Applicants are requiring “it” to be the cat suffering from inflammatory bowel disease; it would be remedial to amend the language to read “feeding said cat a diet...”

Art Unit: 1651

Claims 20-23 are considered indefinite for lacking proper antecedent basis for the limitation "the diet" in the second line of each of the claims. Parent claim 14 recites administering "a composition" to the mammal, it does not refer to "the diet". Correction is required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 14, 17 and 19-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shields, Jr. et al (US Patent 6,156,355), in view of Wadsworth et al (US Patent 6,737,089) and Klimberg et al (*Arch Surg*, 1990), further in view of Taber's Cyclopedic Medical Dictionary (1997).

Shields, Jr. et al teach a dog food composition, 'The Herding Diet' which comprises fermentable fibers, in the amount of 4.0%; omega-3 fatty acids, in the amount of 0.2%; antioxidants; and glutamine (See Shields, Jr. et al, col. 9, ln 48-51; col. 11, ln 25-38 & 53-54; col. 12, ln 11-15; col. 23, ln 4-14 & 'Analysis'). The 'Herding Diet' is specially formulated for dogs that are prone to chronic GI inflammation and diarrhea; it is designed to be fed to dogs as a means of controlling GI inflammation and diarrhea (See Shields, Jr. et al, col. 11, ln 18-28). Shields, Jr. et al teach that the glutamine is the primary source of fuel for the cells for the intestinal tract, and it is beneficial in stress situations (such as times of gastrointestinal stress), in particular it is beneficial to cells of the immune system of the intestinal tract (See Shields, Jr. et al, col. 12, ln 11-22); however, they do not disclose a precise amount of glutamine to include in the diet.

Art Unit: 1651

Regarding the amount of glutamine to be included in the diet composition, it is submitted that the claimed amount of glutamine would have been obvious to one of ordinary skill in the art, at the time the invention was made, based on the disclosure of Wadsworth et al and Klimberg et al. Wadsworth et al and Klimberg et al both provide similar teachings on the benefits of glutamine on intestinal health during times of gastrointestinal stress. It is submitted that diarrhea is a sign of diminished gastrointestinal health (See Taber's Cyclopedic Medical Dictionary, 1997). Wadsworth et al teach glutamine, 5-10% wt, as an additive to animals' diets, specifically dog and cat diets, can provide improved digestive system support (See Wadsworth et al, col. 7, In 51-60 and col. 13, In 34-49 (Example 4)). Klimberg et al teach adding glutamine, 3% wt, to diets of rats suffering gastrointestinal distress from abdominal radiation, resulted in diminished bloody diarrhea and reduced the incidence of bowel perforation (See Klimberg et al, Pg 1040, col. 2- Pg. 1041, col. 2). Therefore, it would therefore have been obvious to the person of ordinary skill in the art at the time the invention was made to use the amounts of glutamine specified by either Wadsworth et al or Klimberg et al (5-10% and 3%, respectively) in the diet disclosed by Shields, Jr. et al. Shields, Jr. et al already teach using glutamine in the 'Herding Diet' in order to treat stressed GI tracts, however because they do not teach a specific amount of glutamine, one of ordinary skill in the art would have been motivated to use the amounts of glutamine taught by Wadsworth et al and Klimberg et al. One would expect success because all three teach that glutamine treats stressed GI tracts by providing the essential fuel for intestinal immune cells.

Regarding the amount of antioxidants to be included in the diet composition, it is submitted that the claimed amount of antioxidants would have been obvious to one of ordinary skill in the art, at the time the invention was made, based on the disclosure of Wadsworth et al. While Shields, Jr. et al does teach the importance of antioxidants as scavengers of oxygen, and terminators of free radicals(col. 5, In 65- col. 6, In 11), they do not teach a specific amount of antioxidants present in the diet. However, Wadsworth et al also teach inclusion of vitamins and

Art Unit: 1651

antioxidants, such as vitamins A and E, and disclose the desired amount as being from 0-10% by weight (See Wadsworth et al, col. 5, ln 24-42). However, any pharmaceutical amount would be appropriate for these diets. Excess vitamins are flushed from the system; therefore, it would be obvious to include any amount of antioxidants, within a pharmaceutically accepted range, with expectations of the benefits and without concern of over dosage. Therefore, though Shields, Jr et al is silent on the amount of antioxidants in their diet, it would have been obvious to include any amount within a pharmaceutical range, such as 0.1-3% by weight.

Finally, while it is noted that the composition of Shields, Jr et al, and its use in methods of managing gastrointestinal disorders, such as diarrhea, is limited to dogs, whereas Klimberg et al's experiments are conducted on rats, and Wadsworth et al's teachings are directed to both dogs and cats, it is submitted that the teachings of Klimberg et al and Wadsworth et al would have been recognized as extendable dogs, as described by Shields, Jr et al. This statement is based on the fact that each of rats, cats and dogs are mammals having simple digestive tracts, and it is known that glutamine has similar beneficial effects on all three species (as disclosed by the individual references). For the same reasons it would be obvious to extend the results of Shields, Jr. et al, in view of Klimberg et al and Wadsworth et al, to cats and other non-canine species, such as rats; therefore, a diet of the same composition, including glutamine, fermentable fiber, omega-3 fatty acids, and antioxidants in the specified amounts, and use of such composition for the treatment of diarrhea, including diarrhea caused by intestinal bowel disease, would have been obvious for use in dogs as well as non-canine mammals, such as cats and rats (Claims 14, 17, and 19-25).

Furthermore, it is noted that under the principles of inherency, if a prior art method, such as administration of the diets disclosed in the references, in their normal and usual operation, would necessarily perform the method claimed, then the method claimed will be considered to be anticipated by the prior art method. When the prior art method is the same as a method described

Art Unit: 1651

in the specification for carrying out the claimed method, it can be assumed the method will inherently perform the claimed process. See *In re Best*, 562 F. 2d, 1252, 1255, 195 USPQ 430, 433 (CCPA 1977) and *Ex parte Novitski*, 26 USPQ 2d 1389 (Bd. Pat. App. & inter. 1993). Thus, administration of the claimed diet composition, rendered obvious by the references, to mammals with diminished gastrointestinal health, and exhibiting symptoms of such (i.e. diarrhea), would necessarily treat the symptoms of diarrhea in any type of mammal, including at least dogs, cats and rats. The same composition cannot have mutually exclusive properties when administered to the same patient population. See *In re Spada*, 911 F.2d 705, 709, 15 USPQ2d 1655, 1658 (Fed. Cir. 1990). Therefore the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Claims 14, 17 and 19-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chandler (*In Practice*, 2002).

Chandler teaches diets for dogs and cats for the treatment and control of gastrointestinal diseases, which result in symptoms such as diarrhea. Chandler et al teach that a diet, which includes fermentable fibers, omega-3 fatty acids, antioxidants, and glutamine, can benefit an animal with a stressed gastrointestinal tract (See Chandler, Pg. 529, col. 2, and especially Pg. 533, col. 1). Chandler teaches a diet comprising these ingredients can be used as a treatment for gastrointestinal diseases (See Chandler, especially Pg. 533). It is noted that inflammatory bowel disease is a gastrointestinal disease that also results in symptoms such as diarrhea; therefore, despite the cause of the diarrhea, the diet recommended by Chandler would have the same effect on the symptoms of diarrhea.

Though Chandler is silent on the precise amounts of glutamine, fermentable fibers, omega-3 fatty acids, and antioxidants, it would have been obvious to a person of ordinary skill in the art to experiment with varying amounts, within pharmaceutical ranges, of each ingredient to

Art Unit: 1651

optimize the treatment potential of the diet. Generally, differences in concentration will not support the patentability of subject matter encompassed by the prior art unless there is evidence indicating such concentration or temperature is critical or produces unexpected results. Where the general conditions of a claim are disclosed by the prior art it is not inventive to discover the optimum or workable ranges by routine experimentation. See *In re Aller*, 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955). Chandler teach that each specific ingredient plays an important role in maintaining and, in times of stress restoring gastrointestinal health (See Chandler, especially, Pg. 529, col. 1- Pg. 531, col. 1). A person of ordinary skill in the art would have been motivated to increase the amount of fermentable fiber, omega-3 fatty acids, and antioxidants, and to include glutamine in a diet for a dog or cat with GI tract problems because these ingredients are highly digestible, the fiber promotes fecal bulk, the omega-3 fatty acids help to decrease inflammation, antioxidants promote immune response, and need to be replaced during bouts of diarrhea due to being flushed out, and glutamine has been found to provide energy for enterocytes during times of stress, boosting immune ability and GI health (See Chandler, Pg. 529, col. 2- Pg. 533, col. 1). One would have expected success because Chandler describes a diet containing these ingredients as a means for treating GI problems, including diarrhea caused by inflammatory bowel disease (See Chandler, Pg. 529, col. 2- Pg. 533, col. 1) (Claims 14, 17 and 19-25). Therefore the invention as a whole would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Allison M. Ford whose telephone number is 571-272-2936. The examiner can normally be reached on 7:30-5 M-Th, alternate Fridays.

Art Unit: 1651

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Wityshyn can be reached on 571-272-0926. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Michael G. Wityshyn
Supervisory Patent Examiner
Technology Center 1600